

10-21-02

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Express Mail No. EV035493270US
Attorney Docket No. P01-3684
Client/Matter No. 68854.0185

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts, Bill Pagano, Richard Lary, William K. Miller, and Mark Conrad

Serial No. 10/000,190

Filed: October 19, 2001

For: UNIFIED MANAGEMENT SYSTEM AND METHOD
FOR MULTI-CABINET DATA STORAGE COMPLEXES

Group Art Unit: 2181

Examiner:

Confirmation No.: 9539

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the attached:

1. Information Disclosure Statement;
2. Form PTO/SB/08A, with references;
3. Certificate of Mailing; and
4. Return card

relating to the above application, were deposited as "Express Mail" Mailing Label No. EV035493270US, with the United States Postal Service, addressed to The Assistant Commissioner for Patents, Washington, D.C., 20231 on 17 October 2002

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18 October 2002
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of all such patents and publications are attached hereto.

No fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Signed on 15 OCTOBER 2002

Respectfully submitted,

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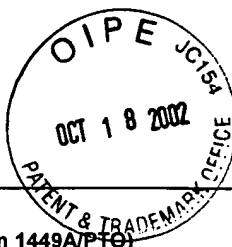
PTO/BB/08A (10/01) (Substitute for form 1449A/PTO) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Sheet <u> 1 </u> of <u> 2 </u>	ATTY. DOCKET NO. P01-3684 Client/Matter No. 68854.0185	APPLICATION NO. 10/000,190
	FIRST NAMED INVENTOR Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts, Bill Pagano, Richard Lary, William K. Miller, and Mark Conrad	
	FILING DATE October 19, 2001	ART UNIT 2181

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No. No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,188,973 B1	3/29/99	Martinez et al.	
		6,237,112 B1	6/26/98	Yoo et al.	
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		US-6,282,610 B1	08/28/01	Bergsten	
		US-6,260,120 B1	07/10/01	Blumenau et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Doc cntry code – No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
						YES	NO



PTO/SB/08A (10/01) (Substitute for form 1449A/PTO)		ATTY. DOCKET NO. P01-3684 Client/Matter No. 68854.0185	APPLICATION NO. 10/000,190
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Sheet <u>2</u> of <u>2</u>		FIRST NAMED INVENTOR Stephen J. Sicola, Bruce Sardeson, Dennis Spicher, Bruce Roberts, Bill Pagano, Richard Lary, William K. Miller, and Mark Corrado	
		FILING DATE October 19, 2001	ART UNIT 2181
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published	
		SMART STORAGE INC., "SmartStor InfiNet™: Virtual Storage for Today's E-economy," September 2000.	
		COMPAQ COMPUTER CORPORATION, "The Compaq Enterprise Network Storage Architecture: An Overview," May 2000.	
		COMPAQ COMPUTER CORPORATION, "Compaq Storage Works" Data Replication Manager HSG80 ACS V8.5P Operations Guide, March 2000.	
EXAMINER SIGNATURE			DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

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